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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/829,053	04/21/2004	Sanjeev Aggarwal	TI 35817	6820

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EXAMINER

CHAUDHARI, CHANDRA P

ART UNIT PAPER NUMBER

2891

DATE MAILED: 03/10/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b> 10/829,053	<b>Applicant(s)</b> AGGARWAL ET AL.	
	<b>Examiner</b> Chandra Chaudhari	<b>Art Unit</b> 2891	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 16 December 2005.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-5, 7-13, 17-21, 23-30 and 32 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-5, 7-13, 17-21, 23-30 and 32 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |                                                                                                                        |                                                                                         |
|------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                            | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____                                                |

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-2, 5, 7-13, 17-18, 21, 23-30, 32 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nagata – US 6,232,174 and Grieger – US 5,855,811.

Nagata (Figs. 2A-2F and text in col. 4, lines 2-64, and col. 8, line 41 to col. 9, line 62) discloses substantially the claimed invention by manufacturing a ferroelectric random access memory with a transistor having source/drain regions 4, interlevel dielectric layer 5 with conductive plug 6, forming 1<sup>st</sup> electrode 7, forming 2<sup>nd</sup> electrode 11 over the planarized ferroelectric dielectric layer 8. Nagata does not disclose to clean the planarized ferroelectric dielectric layer, nor planarizing and cleaning the electrodes. Greiger (abstract, and col. 1, lines 13-41, and col. 2, lines 13-65) teaches to planarize layers and clean during semiconductor fabrication.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to planarize and clean each layer as taught by Grieger in Nagata's process to build up uniform layers which economizes on process time and cost and clean the planarization residue from the surface to efficiently make contact with subsequent layers.

Claims 3-4, 19-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nagata and Grieger as applied to claims 1-2, 5, 7-13, 17-18, 21, 23-30, 32 above, and further in view of either (Suenaga - US 6,239,457 or Suh - US 6,338,970).

Nagata and Grieger are applied as above and do not disclose the ferroelectric dielectric layer having an average surface roughness of less than about 0.5 nm. Either (Suenaga (Fig. 7A and col. 7, lines 1-49) or Suh (Fig. 2A-2C and col.2, line 49 to col. 3, line 37)) teaches to form a ferroelectric dielectric layer with an average surface roughness of less than about 0.5 nm.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to form a ferroelectric dielectric layer with an average surface roughness of less than about 0.5 nm as taught by either (Suenaga or Suh) in (Nagata and Grieger's process) to decrease leakage current and increase breakdown voltage.

Claims 1-2, 5, 7, 12-13, 17-18, 21, 23, 28-30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nagata - US 6,232,174 and Lee - US 2002/0003123.

Nagata (Figs. 2A-2F and text in col. 4, lines 2-64, and col. 8, line 41 to col. 9, line 62) discloses substantially the claimed invention by manufacturing a ferroelectric random access memory with a transistor having source/drain regions 4, interlevel dielectric layer 5 with conductive plug 6, forming 1<sup>st</sup> electrode 7, forming 2<sup>nd</sup> electrode 11 over the planarized ferroelectric dielectric layer 8. Nagata does not disclose to clean the ferroelectric dielectric layer. Lee (Fig. 1 and paragraphs 38-41) teaches to clean the ferroelectric dielectric layer prior to forming the 2<sup>nd</sup> electrode layer.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to clean the ferroelectric dielectric layer as taught by Lee in Nagata's process to remove damaged portions, thereby reducing leakage current.

Claims 3-4, 19-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nagata and Lee as applied to claims 1-2, 5, 7, 12-13, 17-18, 21, 23, 28-30 above, and further in view of either (Suenaga - US 6,239,457 or Suh - US 6,338,970).

Nagata and Lee are applied as above and do not disclose the ferroelectric dielectric layer having an average surface roughness of less than about 0.5 nm. Either (Suenaga (Fig. 7A and col. 7, lines 1-49) or Suh (Fig. 2A-2C and col.2, line 49 to col. 3, line 37)) teaches to form a ferroelectric dielectric layer with an average surface roughness of less than about 0.5 nm.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to form a ferroelectric dielectric layer with an average surface roughness of less than about 0.5 nm as taught by either (Suenaga or Suh) in (Nagata and Lee's process) to decrease leakage current and increase breakdown voltage.

Applicant's arguments with respect to claims 1-5, 7-13, 17-21, 23-30, and 32 have been considered but are moot in view of the new ground(s) of rejection.

Art Unit: 2891

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Chandra Chaudhari whose telephone number is 571-272-1688. The examiner can normally be reached on Mon - Fri (9:00-5:30).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Bill Baumeister can be reached on 571-272-1722. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Chandra Chaudhari

Primary Examiner

Art Unit 2891



Chandra Chaudhari

March 3, 2006